Jason M. Bussey (California Bar No. 227185) busseyja@sec.gov Andrew J. Hefty (California Bar No. 220450) heftya@sec.gov 44 Montgomery Street, Suite 700 San Francisco, CA 94104 Telephone: (415) 705-2500

Facsimile: (415) 705-2501

Attorneys for Plaintiff Securities and Exchange Commission

IN THE UNITED STATES DISTRICT COURT DISTRICT OF UTAH

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

THE ESTATE OF STEPHEN ROMNEY SWENSEN, and CREW CAPITAL GROUP, LLC, a Nevada limited liability company,

Defendants,

WENDY SWENSEN, an individual, SARIA C. RODRIGUEZ, an individual, WS FAMILY IP, LLC, a Utah limited liability company, WINGMAN, LLC, a Utah limited liability company, and SWENSEN CAPITAL, LLC, a Utah limited liability company,

Relief Defendants.

STATUS REPORT REGARDING RELIEF DEFENDANT SARIA C. RODRIGUEZ

Case No.: 1:22-cv-00135-RJS-DBP

Judge: Robert J. Shelby

Magistrate Judge: Dustin B. Pead

The Securities and Exchange Commission ("SEC") submits the following status report with respect to Relief Defendant Saria C. Rodriguez:

On February 12, 2025, the Parties informed the Court that the SEC and Ms. Rodriguez had reached an agreement in principle to resolve this action as to Ms. Rodriguez, contingent on receipt of certain additional information from Ms. Rodriguez. Dkt. No. 120. The SEC

Case 1:22-cv-00135-RJS-DBP

subsequently filed further status reports informing the Court that it was working through its internal approval processes for the resolution with Ms. Rodriguez. Dkt. Nos. 124, 130. The SEC stated that, as to Ms. Rodriguez, it would file by July 30, 2025 either a stipulation of dismissal signed by all parties who have appeared, or a further status report.

Document 137

The SEC is now prepared to file a stipulation of dismissal as to Ms. Rodriguez, but it recently learned that Ms. Rodriguez's counsel, Raymond N. Malouf, passed away on July 16, 2025. His obituary following be found at the link: may https://www.allenmortuaries.net/obituaries/raymond-malouf. Ms. Rodriguez confirmed via email on July 30, 2025 that she does not have counsel, but she has not yet signed the stipulation of dismissal. Because Rule 41 requires that a stipulation of dismissal be "signed by all parties who have appeared" (Fed. R. Civ. P. 41(a)(1)(A)(ii)), the SEC therefore cannot yet file the stipulation of dismissal. By August 15, 2025, the SEC will file a further status report, a stipulation of dismissal as to Ms. Rodriguez, or a motion requesting that the Court dismiss the claims against Ms. Rodriguez pursuant to Rule 41(a)(2).

Dated: July 30, 2025

/s/ Jason M. Bussey

Jason M. Bussey Andrew J. Hefty Securities and Exchange Commission Division of Enforcement 44 Montgomery Street, Suite 700 San Francisco, CA 94104

Tel: (415) 705-8152

Attorney for Plaintiff

Securities and Exchange Commission

PageID.2255

of 3

CERTIFICATE OF SERVICE

I hereby certify that on July 30, 2025, I caused a true and correct copy of the foregoing document to be served via CM/ECF on Relief Defendant Wendy Swensen, the Receiver, and the Receivership Defendants, and by email and U.S. mail as to Relief Defendant Saria C. Rodriguez.

Thomas A. Brady Katherine E. Pepin Keith M. Woodwell **CLYDE SNOW & SESSIONS** One Utah Center 201 S Main St., Suite 2200 Salt Lake City, UT 84111-2216 tab@clydesnow.com kep@clydesnow.com kmw@clydesnow.com

Counsel for Relief Defendant Wendy Swensen

Saria C. Rodriguez 4236 W. Old Miller Court Salt Lake City, UT 84118 mangosaria101@gmail.com

Pro Se

Jeremy Adamson **BUCHALTER, A Professional Corporation** 60 E. South Temple Street, Suite 1200 Salt Lake City, UT 84111 jadamson@buchalter.com

Counsel for Receiver and Receivership Defendants

/s/ Jason M. Bussey Jason M. Bussey